

## PATENT COOPERATION TREATY

PCT

## NOTIFICATION OF ELECTION

(PCT Rule 61.2)

From the INTERNATIONAL BUREAU

To:

Commissioner  
 US Department of Commerce  
 United States Patent and Trademark  
 Office, PCT  
 2011 South Clark Place Room  
 CP2/5C24  
 Arlington, VA 22202  
 ETATS-UNIS D'AMERIQUE  
 in its capacity as elected Office

Date of mailing (day/month/year) 08 June 2001 (08.06.01)	
International application No. PCT/GB00/03329	Applicant's or agent's file reference 71121/PCT
International filing date (day/month/year) 30 August 2000 (30.08.00)	Priority date (day/month/year) 14 September 1999 (14.09.99)
Applicant JOHNSON, Martin, Stanley et al	

1. The designated Office is hereby notified of its election made:

☒ in the demand filed with the International Preliminary Examining Authority on:  
 11 April 2001 (11.04.01)

☐ in a notice effecting later election filed with the International Bureau on:  
 \_\_\_\_\_

2. The election ☒ was

☐ was not

made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland Facsimile No.: (41-22) 740.14.35	Authorized officer Olivia TEFY Telephone No.: (41-22) 338.83.38
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## PATENT COOPERATION TREATY

PCT

From the INTERNATIONAL BUREAU

NOTIFICATION OF THE RECORDING  
OF A CHANGE(PCT Rule 92bis.1 and  
Administrative Instructions, Section 422)

To:

BARKER Brettel  
138 Hagley Road  
Edgbaston, Birminghamept.  
B16 9PW  
United Kingdom  
ROYAUME-UNI

Date of mailing (day/month/year) 08 June 2001 (08.06.01)	<b>IMPORTANT NOTIFICATION</b>
Applicant's or agent's file reference 71121/PCT	
International application No. PCT/GB00/03329	International filing date (day/month/year) 30 August 2000 (30.08.00)

1. The following indications appeared on record concerning:		
<input type="checkbox"/> the applicant	<input type="checkbox"/> the inventor	<input checked="" type="checkbox"/> the agent
<input type="checkbox"/> the common representative		
Name and Address SYKES, John, C. IMI plc Patents & Licensing Dept. P.O. Box 216 Witton-Birmingham B6 7BA United Kingdom	State of Nationality	State of Residence
	Telephone No. 0121 332 2324	
	Facsimile No. 0121 356 3526	
	Teleprinter No.	
2. The International Bureau hereby notifies the applicant that the following change has been recorded concerning:		
<input checked="" type="checkbox"/> the person	<input checked="" type="checkbox"/> the name	<input checked="" type="checkbox"/> the address
<input type="checkbox"/> the nationality		
<input type="checkbox"/> the residence		
Name and Address BARKER Brettel 138 Hagley Road Edgbaston, Birminghamept. B16 9PW United Kingdom United Kingdom	State of Nationality	State of Residence
	Telephone No. 0121 456 1364	
	Facsimile No. 0121 456 1368	
	Teleprinter No.	
3. Further observations, if necessary:		
4. A copy of this notification has been sent to:		
<input checked="" type="checkbox"/> the receiving Office	<input checked="" type="checkbox"/> the designated Offices concerned	
<input checked="" type="checkbox"/> the International Searching Authority	<input type="checkbox"/> the elected Offices concerned	
<input type="checkbox"/> the International Preliminary Examining Authority	<input type="checkbox"/> other:	

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland	Authorized officer  Olivia TEFY
Facsimile No.: (41-22) 740.14.35	Telephone No.: (41-22) 338.83.38

# PATENT COOPERATION TREATY

# PCT

REC'D 12 NOV 2001

WIPO PCT

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>DAW565</b>	<b>FOR FURTHER ACTION</b> See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)	
International application No. <b>PCT/GB00/03329</b>	International filing date (day/month/year) <b>30/08/2000</b>	Priority date (day/month/year) <b>14/09/1999</b>
International Patent Classification (IPC) or national classification and IPC <b>C02F1/02</b>		
Applicant <b>IMI CORNELIUS (UK) LIMITED et al.</b>		

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.



2. This REPORT consists of a total of 5 sheets, including this cover sheet.

- ☐ This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).

These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☒ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☒ Certain observations on the international application

Date of submission of the demand  <b>11/04/2001</b>	Date of completion of this report  <b>07.11.2001</b>
Name and mailing address of the international preliminary examining authority:   <b>European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523656 epmu d Fax: +49 89 2399 - 4465</b>	Authorized officer  <b>Assogna, R</b>  Telephone No. +49 89 2399 8354  

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/GB00/03329

**I. Basis of the report**

1. With regard to the **elements** of the international application (*Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)*):

**Description, pages:**

1-19 as originally filed

**Claims, No.:**

1-28 as originally filed

**Drawings, sheets:**

1/5-5/5 as originally filed

2. With regard to the **language**, all the elements marked above were available or furnished to this Authority in the language in which the international application was filed, unless otherwise indicated under this item.

These elements were available or furnished to this Authority in the following language: , which is:

- ☐ the language of a translation furnished for the purposes of the international search (under Rule 23.1(b)).
- ☐ the language of publication of the international application (under Rule 48.3(b)).
- ☐ the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3).

3. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing:

- ☐ contained in the international application in written form.
- ☐ filed together with the international application in computer readable form.
- ☐ furnished subsequently to this Authority in written form.
- ☐ furnished subsequently to this Authority in computer readable form.
- ☐ The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
- ☐ The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.

4. The amendments have resulted in the cancellation of:

- ☐ the description, pages:
- ☐ the claims, Nos.:

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT**

International application No. PCT/GB00/03329

☐ the drawings, sheets:

5. ☐ This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)):

*(Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.)*

6. Additional observations, if necessary:

**V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

1. Statement

Novelty (N)	Yes:	Claims	2-28
	No:	Claims	1
Inventive step (IS)	Yes:	Claims	
	No:	Claims	1-28
Industrial applicability (IA)	Yes:	Claims	1-28
	No:	Claims	

2. Citations and explanations  
**see separate sheet**

**VI. Certain documents cited**

1. Certain published documents (Rule 70.10)

and / or

2. Non-written disclosures (Rule 70.9)

**see separate sheet**

**VIII. Certain observations on the international application**

The following observations on the clarity of the claims, description, and drawings or on the question whether the claims are fully supported by the description, are made:

**see separate sheet**

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/GB00/03329

**Re Item V**

**Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement**

Reference is made to the following document:

D1: US-A-5 858 248 (PLESTER GEORGE ET AL) 12 January 1999 (1999-01-12)

The present application does not satisfy the criterion set forth in Article 33 (2) and (3) PCT.

1. An apparatus comprising a housing having an inlet and an outlet, a heater within the housing to come into direct contact with the water and a filter between the heater and the outlet, and a float valve to fill the housing with water up to a maximum level which leaves a headspace between the water and the roof of the housing, the entrance to the outlet being below the operating water level is already known from D1 (cf. column 4, line 9 to column 6, line 35, fig. 1, 3 and 4) Therefore, the subject-matter of claim 1 is not novel.
2. Dependent claims 2-25 do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in respect of novelty and/or an inventive step, because they are either known from D1 (cf. column 4, line 9 to column 6, line 35, fig. 1,3 and 4) or in no way surprising.
3. The apparatus defined in independent claim 26 differs from the apparatus known from D1 (cf. column 4, line 9 to column 6, line 35, fig. 1,3 and 4) in that bypass valve means are provided to close the heat exchanger to incoming untreated water and to allow the incoming untreated water to flow directly into the treatment housing.  
This feature does not seem to be in any way surprising.  
Therefore, the subject-matter of claim 26 does not seem to involve an inventive

**INTERNATIONAL PRELIMINARY  
EXAMINATION REPORT - SEPARATE SHEET**

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International application No. PCT/GB00/03329

step.

4. Dependent claims 27 and 28 do not contain any features which, in combination with the features of any claim to which they refer, meet the requirements of the PCT in respect of an inventive step, because they are either known from D1 (cf. column 4, line 9 to column 6, line 35, fig. 1, 3 and 4) or in no way surprising.

**Re Item VI**

**Certain documents cited**

Certain published documents (Rule 70.10)

Application No Patent No	Publication date (day/month/year)	Filing date (day/month/year)	Priority date (valid claim) (day/month/year)
WO-A-00 25887	11.05.00	22.10.99	29.10.98

**Re Item VIII**

**Certain observations on the international application**

Although claims 1 and 26 have been drafted as separate independent claims, they appear to relate effectively to the same subject-matter and to differ from each other only with regard to the definition of the subject-matter for which protection is sought and/or in respect of the terminology used for the features of that subject-matter. The aforementioned claims therefore lack conciseness. Moreover, lack of clarity of the claims as a whole arises, since the plurality of independent claims makes it difficult, if not impossible, to determine the matter for which protection is sought, and places an undue burden on others seeking to establish the extent of the protection.

Hence, claims 1 and 26 do not meet the requirements of Article 6 PCT.

# PCT

## REQUEST

The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty.

For receiving Office use only

International Application No.

International Filing Date

Name of receiving Office and "PCT International Application"

Applicant's or agent's file reference  
(if desired) (12 characters maximum) 71121/PCT

### Box No. I TITLE OF INVENTION

Water Treatment

### Box No. II APPLICANT

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

IMI Cornelius (UK) Limited  
Tything Road  
Alcester  
Warwickshire  
B49 6EU

☐ This person is also inventor.

Telephone No.

01789 763101

Facsimile No.

01789 763644

Teleprinter No.

State (that is, country) of nationality:

GB

State (that is, country) of residence:

GB

This person is applicant for the purposes of:

☐ all designated States

☒ all designated States except the United States of America

☐ the United States of America only

☐ the States indicated in the Supplemental Box

### Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)

Johnson, Martin Stanley  
7 Rupert Kettle Drive  
Bishops Itchington  
Leamington Spa  
Warwickshire  
CV33 0PU

This person is:

☐ applicant only

☒ applicant and inventor

☐ inventor only (if this check-box is marked, do not fill in below.)

State (that is, country) of nationality:

GB

State (that is, country) of residence:

GB

This person is applicant for the purposes of:

☐ all designated States

☐ all designated States except the United States of America

☒ the United States of America only

☐ the States indicated in the Supplemental Box

☒ Further applicants and/or (further) inventors are indicated on a continuation sheet.

### Box No. IV AGENT OR COMMON REPRESENTATIVE; OR ADDRESS FOR CORRESPONDENCE

The person identified below is hereby/has been appointed to act on behalf of the applicant(s) before the competent International Authorities as:

☒ agent

☐ common representative

Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

Sykes, John C & Lane, Michael J  
IMI plc  
Patents & Licensing Department  
PO Box 216  
Witton  
Birmingham  
B6 7BA

Telephone No.

0121 332 2324

Facsimile No.

0121 356 3526

Teleprinter No.



Continuation of Box No. III FURTHER APPLICANT(S) AND/OR (FURTHER) INVENTOR(S)	
<i>If none of the following sub-boxes is used, this sheet should not be included in the request.</i>	
<p>Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i></p> <p>Wiemer, Klaus Holundstr. 2 42799 Leichlingen</p>	<p>This person is:</p> <p><input type="checkbox"/> applicant only</p> <p><input checked="" type="checkbox"/> applicant and inventor</p> <p><input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i></p>
State <i>(that is, country)</i> of nationality: Germany	State <i>(that is, country)</i> of residence: Germany
<p>This person is applicant for the purposes of:</p> <p><input type="checkbox"/> all designated States    <input type="checkbox"/> all designated States except the United States of America    <input checked="" type="checkbox"/> the United States of America only    <input type="checkbox"/> the States indicated in the Supplemental Box</p>	
<p>Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i></p> <p>Williams, Graham 96 Saxon Wood Road Shirley Solihull Birmingham B90 4JR</p>	<p>This person is:</p> <p><input type="checkbox"/> applicant only</p> <p><input checked="" type="checkbox"/> applicant and inventor</p> <p><input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i></p>
State <i>(that is, country)</i> of nationality: GB	State <i>(that is, country)</i> of residence: GB
<p>This person is applicant for the purposes of:</p> <p><input type="checkbox"/> all designated States    <input type="checkbox"/> all designated States except the United States of America    <input checked="" type="checkbox"/> the United States of America only    <input type="checkbox"/> the States indicated in the Supplemental Box</p>	
<p>Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i></p>	<p>This person is:</p> <p><input type="checkbox"/> applicant only</p> <p><input type="checkbox"/> applicant and inventor</p> <p><input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i></p>
State <i>(that is, country)</i> of nationality:	State <i>(that is, country)</i> of residence:
<p>This person is applicant for the purposes of:</p> <p><input type="checkbox"/> all designated States    <input type="checkbox"/> all designated States except the United States of America    <input type="checkbox"/> the United States of America only    <input type="checkbox"/> the States indicated in the Supplemental Box</p>	
<p>Name and address: <i>(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this Box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)</i></p>	<p>This person is:</p> <p><input type="checkbox"/> applicant only</p> <p><input type="checkbox"/> applicant and inventor</p> <p><input type="checkbox"/> inventor only <i>(If this check-box is marked, do not fill in below.)</i></p>
State <i>(that is, country)</i> of nationality:	State <i>(that is, country)</i> of residence:
<p>This person is applicant for the purposes of:</p> <p><input type="checkbox"/> all designated States    <input type="checkbox"/> all designated States except the United States of America    <input type="checkbox"/> the United States of America only    <input type="checkbox"/> the States indicated in the Supplemental Box</p>	

**Box No.V DESIGNATION OF STATES**

The following designations are hereby made under Rule 4.9(a) (mark the applicable check-boxes; at least one must be marked):

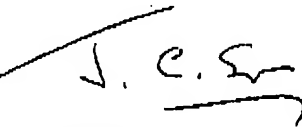
**Regional Patent**

- ☒ **AP** ARIPO Patent: GH Ghana, GM Gambia, KE Kenya, LS Lesotho, MW Malawi, MZ Mozambique, SD Sudan, SL Sierra Leone, SZ Swaziland, TZ United Republic of Tanzania, UG Uganda, ZW Zimbabwe, and any other State which is a Contracting State of the Harare Protocol and of the PCT
- ☒ **EA** Eurasian Patent: AM Armenia, AZ Azerbaijan, BY Belarus, KG Kyrgyzstan, KZ Kazakhstan, MD Republic of Moldova, RU Russian Federation, TJ Tajikistan, TM Turkmenistan, and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT
- ☒ **EP** European Patent: AT Austria, BE Belgium, CH and LI Switzerland and Liechtenstein, CY Cyprus, DE Germany, DK Denmark, ES Spain, FI Finland, FR France, GB United Kingdom, GR Greece, IE Ireland, IT Italy, LU Luxembourg, MC Monaco, NL Netherlands, PT Portugal, SE Sweden, and any other State which is a Contracting State of the European Patent Convention and of the PCT
- ☒ **OA** OAPI Patent: BF Burkina Faso, BJ Benin, CF Central African Republic, CG Congo, CI Côte d'Ivoire, CM Cameroon, GA Gabon, GN Guinea, GW Guinea-Bissau, ML Mali, MR Mauritania, NE Niger, SN Senegal, TD Chad, TG Togo, and any other State which is a member State of OAPI and a Contracting State of the PCT (if other kind of protection or treatment desired, specify on dotted line)

National Patent (if other kind of protection or treatment desired, specify on dotted line):

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> <b>AE</b> United Arab Emirates                  | <input checked="" type="checkbox"/> <b>LC</b> Saint Lucia  |
| <input checked="" type="checkbox"/> <b>AG</b> Antigua and Barbuda                   | <input checked="" type="checkbox"/> <b>LK</b> Sri Lanka  |
| <input checked="" type="checkbox"/> <b>AL</b> Albania                               | <input checked="" type="checkbox"/> <b>LR</b> Liberia  |
| <input checked="" type="checkbox"/> <b>AM</b> Armenia                               | <input checked="" type="checkbox"/> <b>LS</b> Lesotho  |
| <input checked="" type="checkbox"/> <b>AT</b> Austria                               | <input checked="" type="checkbox"/> <b>LT</b> Lithuania  |
| <input checked="" type="checkbox"/> <b>AU</b> Australia                             | <input checked="" type="checkbox"/> <b>LU</b> Luxembourg   |
| <input checked="" type="checkbox"/> <b>AZ</b> Azerbaijan                            | <input checked="" type="checkbox"/> <b>LV</b> Latvia   |
| <input checked="" type="checkbox"/> <b>BA</b> Bosnia and Herzegovina                | <input checked="" type="checkbox"/> <b>MA</b> Morocco  |
| <input checked="" type="checkbox"/> <b>BB</b> Barbados                              | <input checked="" type="checkbox"/> <b>MD</b> Republic of Moldova  |
| <input checked="" type="checkbox"/> <b>BG</b> Bulgaria                              | <input checked="" type="checkbox"/> <b>MG</b> Madagascar   |
| <input checked="" type="checkbox"/> <b>BR</b> Brazil                                | <input checked="" type="checkbox"/> <b>MK</b> The former Yugoslav Republic of Macedonia                    |
| <input checked="" type="checkbox"/> <b>BY</b> Belarus                               | <input checked="" type="checkbox"/> <b>MN</b> Mongolia   |
| <input checked="" type="checkbox"/> <b>BZ</b> Belize                                | <input checked="" type="checkbox"/> <b>MW</b> Malawi   |
| <input checked="" type="checkbox"/> <b>CA</b> Canada                                | <input checked="" type="checkbox"/> <b>MX</b> Mexico   |
| <input checked="" type="checkbox"/> <b>CH and LI</b> Switzerland and Liechtenstein  | <input checked="" type="checkbox"/> <b>MZ</b> Mozambique   |
| <input checked="" type="checkbox"/> <b>CN</b> China                                 | <input checked="" type="checkbox"/> <b>NO</b> Norway   |
| <input checked="" type="checkbox"/> <b>CR</b> Costa Rica                            | <input checked="" type="checkbox"/> <b>NZ</b> New Zealand  |
| <input checked="" type="checkbox"/> <b>CU</b> Cuba                                  | <input checked="" type="checkbox"/> <b>PL</b> Poland   |
| <input checked="" type="checkbox"/> <b>CZ</b> Czech Republic                        | <input checked="" type="checkbox"/> <b>PT</b> Portugal   |
| <input checked="" type="checkbox"/> <b>DE</b> Germany                               | <input checked="" type="checkbox"/> <b>RO</b> Romania  |
| <input checked="" type="checkbox"/> <b>DK</b> Denmark                               | <input checked="" type="checkbox"/> <b>RU</b> Russian Federation   |
| <input checked="" type="checkbox"/> <b>DM</b> Dominica                              | <input checked="" type="checkbox"/> <b>SD</b> Sudan  |
| <input checked="" type="checkbox"/> <b>DZ</b> Algeria                               | <input checked="" type="checkbox"/> <b>SE</b> Sweden   |
| <input checked="" type="checkbox"/> <b>EE</b> Estonia                               | <input checked="" type="checkbox"/> <b>SG</b> Singapore  |
| <input checked="" type="checkbox"/> <b>ES</b> Spain                                 | <input checked="" type="checkbox"/> <b>SI</b> Slovenia   |
| <input checked="" type="checkbox"/> <b>FI</b> Finland                               | <input checked="" type="checkbox"/> <b>SK</b> Slovakia   |
| <input checked="" type="checkbox"/> <b>GB</b> United Kingdom                        | <input checked="" type="checkbox"/> <b>SL</b> Sierra Leone   |
| <input checked="" type="checkbox"/> <b>GD</b> Grenada                               | <input checked="" type="checkbox"/> <b>TJ</b> Tajikistan   |
| <input checked="" type="checkbox"/> <b>GE</b> Georgia                               | <input checked="" type="checkbox"/> <b>TM</b> Turkmenistan   |
| <input checked="" type="checkbox"/> <b>GH</b> Ghana                                 | <input checked="" type="checkbox"/> <b>TR</b> Turkey   |
| <input checked="" type="checkbox"/> <b>GM</b> Gambia                                | <input checked="" type="checkbox"/> <b>TT</b> Trinidad and Tobago  |
| <input checked="" type="checkbox"/> <b>HR</b> Croatia                               | <input checked="" type="checkbox"/> <b>TZ</b> United Republic of Tanzania                                  |
| <input checked="" type="checkbox"/> <b>HU</b> Hungary                               | <input checked="" type="checkbox"/> <b>UA</b> Ukraine  |
| <input checked="" type="checkbox"/> <b>ID</b> Indonesia                             | <input checked="" type="checkbox"/> <b>UG</b> Uganda   |
| <input checked="" type="checkbox"/> <b>IL</b> Israel                                | <input checked="" type="checkbox"/> <b>US</b> United States of America                                     |
| <input checked="" type="checkbox"/> <b>IN</b> India                                 | <input checked="" type="checkbox"/> <b>UZ</b> Uzbekistan   |
| <input checked="" type="checkbox"/> <b>IS</b> Iceland                               | <input checked="" type="checkbox"/> <b>VN</b> Viet Nam   |
| <input checked="" type="checkbox"/> <b>JP</b> Japan                                 | <input checked="" type="checkbox"/> <b>YU</b> Yugoslavia   |
| <input checked="" type="checkbox"/> <b>KE</b> Kenya                                 | <input checked="" type="checkbox"/> <b>ZA</b> South Africa   |
| <input checked="" type="checkbox"/> <b>KG</b> Kyrgyzstan                            | <input checked="" type="checkbox"/> <b>ZW</b> Zimbabwe   |
| <input checked="" type="checkbox"/> <b>KP</b> Democratic People's Republic of Korea | Check-box reserved for designating States which have become party to the PCT after issuance of this sheet: |
| <input checked="" type="checkbox"/> <b>KR</b> Republic of Korea                     | <input type="checkbox"/>   |
| <input checked="" type="checkbox"/> <b>KZ</b> Kazakhstan                            |  |

**Precautionary Designation Statement:** In addition to the designations made above, the applicant also makes under Rule 4.9(b) all other designations which would be permitted under the PCT except any designation(s) indicated in the Supplemental Box as being excluded

<b>Box No. VI PRIORITY CLAIM</b>		<input type="checkbox"/> Further priority claims are indicated in the Supplemental Box.		
Filing date of earlier application (day/month/year)	Number of earlier application	Where earlier application is:		
		national application: country	regional application:* regional Office	international application: receiving Office
item (1) 14 September 1999	9921659.0	United Kingdom		
item (2)				
item (3)				
<input checked="" type="checkbox"/> The receiving Office is requested to prepare and transmit to the International Bureau a certified copy of the earlier application(s) (only if the earlier application was filed with the Office which for the purposes of the present international application is the receiving Office) identified above as item(s):				
<small>* Where the earlier application is an ARIPO application, it is mandatory to indicate in the Supplemental Box at least one country party to the Paris Convention for the Protection of Industrial Property for which that earlier application was filed (Rule 4.10(b)(ii)). See Supplemental Box.</small>				
<b>Box No. VII INTERNATIONAL SEARCHING AUTHORITY</b>				
<b>Choice of International Searching Authority (ISA)</b> <small>(if two or more International Searching Authorities are competent to carry out the international search, indicate the Authority chosen; the two-letter code may be used):</small>		<b>Request to use results of earlier search; reference to that search (if an earlier search has been carried out by or requested from the International Searching Authority):</b> Date (day/month/year)      Number      Country (or regional Office)		
ISA / EP				
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## WATER TREATMENT APPARATUS

This invention relates to water treatment and is particularly concerned to provide a means of purifying water in an apparatus suitable for use in a post-mix beverage dispenser, although it will be appreciated  
5 that water treated according to the invention may be used for other purposes.

Water quality and purity vary considerably from location to location and it is an object of the invention to provide a means whereby the water to be used in a post-mix dispenser or for other use can be  
10 rendered of the desired quality and purity using a relatively simple to operate and renewable means.

To be suitable for use in beverages water should not have excessive bicarbonate, carbonate and organic matter. Chlorine and heavy metals may also need to be removed.

15 It is also an object of the invention to provide an improved water treatment device in which blockage of water flow through the device by the necessary precipitation of the unwanted impurities can be ameliorated.

It is known from US 4 844 796 to provide a water treatment  
20 apparatus for post-mix beverage dispensers in which the water to be treated is passed into a removable disposable cartridge having a first, reactor section and a second, filter section wherein the water is heated in the reactor section firstly by passing through a heat exchanger and secondly by means of a heater. The heater is positioned in a central  
25 aperture defined by an annular portion of the cartridge whereby it does not come into direct contact with the water.

A similar heater arrangement is disclosed in US 5 858 248 where the heater can be located in the central cavity of a disposable cartridge of a water treatment device. Alternative heater arrangements disclosed in this application are to position the heater around the outer cylindrical  
5 surface of the cartridge or to have a gas cylinder heater beneath a central "chimney", i.e. the central cavity defined by the annular portion of the cartridge.

In all these heater embodiments, the heater is kept separated from the water under treatment. It does not become covered in deposits of the  
10 precipitated impurities that come out of solution in the water during the heating and sieving stages that take place in the cartridge. Thus the heaters are reusable and not disposed of with the disposable cartridges.

We have now surprisingly found that an efficient and economic water purification means may be achieved without the need to separate  
15 the heater from the water under treatment and hence without the need for the heater to have a long built-in life expectancy.

Accordingly, in one aspect the invention provides a water treatment apparatus comprising a treatment housing having an inlet for the water to be treated, an outlet for the treated water, a heater within the  
20 housing to come into direct contact with the water and a filter between the heater and the outlet, and means to fill the housing with water up to a maximum level which leaves a headspace between the water and the roof of the housing, the entrance to the outlet being below the operating water level.

25 Preferably the inlet is arranged so that the water travels upwardly within the housing.

Conveniently, the housing is in the form of a disposable cartridge which may be dispensed with, including its heater, when its life expectancy is reached.

The cartridge may contain one or more perforated screens or  
5 meshes between the heater and the filter but this is not essential.

Thus in one preferred embodiment the apparatus comprises a cylindrical housing having an inlet for the water to be treated, a heater spaced above the base of the housing and, extending within the cylindrical housing, one or more perforated screen(s) above the heater, a  
10 filter above the screen(s) and an outlet for the treated water above the filter. The outlet may conveniently be through the closed upper end of the cylinder.

The outlet extends beneath the level of the water so that hot water leaves the treatment housing without going through the headspace.  
15 Steam and volatiles collect in the headspace and may be allowed to escape through a pressure relief valve, as is described in more detail below.

The unfiltered water, e.g. from the mains, may first pass through a heat exchanger to warm it before it passes into the housing. Treated  
20 heated water leaving the housing may be passed in the opposite direction through the heat exchanger to act as the heat exchange medium to warm the incoming mains water. The treated water is, thereby, conveniently cooled before being passed to a reservoir or for direct use.

In another preferred embodiment the heat exchanger and the water  
25 treatment housing may be contained in a single unit, preferably with the heat exchanger directly beneath the water treatment housing. This may be a unitary structure or two separate units, water treatment housing and

heat exchanger, which may be completely or partially disposable. For example, the water treatment housing may be a disposable cartridge and the heat exchanger non-disposable.

This single unit arrangement has the advantage that pipework  
5 between the heat exchanger and the water treatment housing can be considerably reduced, if not eliminated. The heated water from the heat exchanger may pass directly into the water treatment housing and the treated water from the housing can pass directly back to the coils of the heat exchanger in order to heat the incoming water. This arrangement  
10 reduces the regions where precipitation deposits may build up and harmfully affect water flow. Also, with the heat exchanger directly below the water treatment housing, the inlet to the water treatment housing can readily enter at its cooler, lower end.

The inlet for the water to be treated may conveniently enter  
15 through the floor of the treatment housing but this is not essential. For example, in some embodiments the water to be treated may enter the housing through a pipe entering the housing through or near the roof, which pipe extends downwardly inside the housing towards its base. On leaving the pipe water, once the housing is filled to the lower end of the  
20 pipe, will then travel upwardly.

In another aspect the invention provides a water treatment apparatus comprising a treatment housing and a heat exchanger, the treatment housing having an inlet for the water to be treated, an outlet for the treated water, a heater within the housing and a filter between the  
25 heater and the outlet, the inlet to the treatment housing receiving water that has passed from a source of untreated water through the heat exchanger and the outlet from the treatment housing passing treated



water back through the heat exchanger, and a bypass valve to close the heat exchanger to incoming untreated water and to allow the incoming untreated water to flow directly into the treatment housing, whereby the hot treated water passing through the heat exchanger sterilises the heat  
5 exchanger.

The bypass valve means may conveniently be a first valve on the inlet pipe to the heat exchanger which is open during normal operation to allow inflow of untreated water, e.g. mains water, and a bypass valve in a bypass pipe between the source of untreated water and the first valve.

10 The bypass valve is closed during normal operation. In sterilisation mode, the first valve is closed and the bypass valve is opened, thereby allowing water into the bypass pipe which takes the untreated water directly into the treatment housing inlet.

This sterilisation arrangement may be used with side by side  
15 separate units, or single units, which may be used one above the other as described above, and with housings having heaters which may or may not be in direct contact with a the water.

Where the water treatment housing and the heat exchanger are housed side by side rather than one beneath the other, their upper ends  
20 may conveniently be closed by an appropriately shaped and gasketted single plate, e.g. of steel. The necessary pipework for the required water flows into and out of the two housings can then pass through appropriately sized and gasketted holes in the plate. However, in another embodiment this closure plate is replaced by a double skinned cover  
25 plate formed in two parts, preferably by injection moulding of plastics material. Integral galleries are moulded inside the plate to provide the necessary flow passages. Thus much of the external pipework into and

out of the two housings can be eliminated and replaced by large-section integrally moulded passageways that are less likely to become blocked by deposits. Moreover, the double skinned plate can readily be opened, and stripped and cleaned more easily.

5           We have surprisingly found that the direct contact of the heater and the water it is heating does not harmfully affect the efficiency of the treatment process. Deposits, largely of calcium carbonate, form on the heater surface but they only build up to a degree and then break off and fall to the floor of the container. Moreover, we have found that deposits  
10 also build up around the interior wall or walls of the housing and also a "roof" of deposits can build up on the lower surface of the lowermost screen or the lower surface of the filter when no screen is used. The water being treated, therefore, once this build up has occurred is in effect treated in an inner housing formed by accumulated deposits on the floor  
15 of the housing, an annular build up of deposits on the walls and the "roof" formed of deposits. The deposit build up is sufficiently porous not to impede flow to any significant extent and the "roof" may indeed act as a further filtration medium. Sufficient turbulence may be created during the treatment process to ensure that the build up of deposits on the  
20 heater breaks off from time to time so that the heating efficiency of the heater is not unduly impaired. The wattage density of the heater may be chosen, e.g. between 20 and 30 watts/cm<sup>2</sup>, to give sufficient power density to cause the deposits to bake, crack and fall off before any substantial thickness of deposit is formed. Alternatively, it may be found  
25 advantageous occasionally to take steps to break off these deposits on the heater. For example, the heater may be switched off and then given a burst of power. This "burst" could conveniently be applied, say,

overnight when the water treatment is out of use so that break off of deposits may occur when the apparatus is brought into use the next day.

In other embodiments ultrasonic or other vibration, e.g. caused by AC mains frequency, of the heater may be used, if necessary, to break  
5 the deposits on the heater. The heater surfaces may also be polished or coated with low-friction material in order to assist breaking off of the deposits.

It will be appreciated that the wattage capacity of the heater will vary according to the volume and, particularly, to the throughput of the  
10 water treatment housing. For example, a heater of from 1000 to 1200 watts can usefully be employed for a throughput of 12 to 18 litres per hour, i.e. the input of water into the treatment housing.

Conveniently, once the water treatment housing has initially filled up with water to the maximum desired level, the outlet may be retained  
15 in the open position for continuous flow, subject to a satisfactory water temperature having been reached and maintained, which is monitored by a temperature probe, e.g. a thermistor, and the inlet may be opened and closed as required to replenish the housing, the need for replenishment being detected by one or more water depth probes in the upper part of the  
20 housing. Thus the water depth probe or probes are used to trigger the switching on or off of water flow as required, e.g. through a valve and pressure regulator from a mains water supply.

As the water level, therefore, never goes above the maximum level defined by that water depth probe, the required headspace is provided  
25 between the water and roof of the housing. The headspace, as indicated above, receives steam from the heated water and this may include unwanted volatiles from the water.

In a more preferred embodiment the probes are fitted within a separate chamber within the housing, which chamber only receives treated water after it has passed through the heating stage, any screens and the filter. Such an arrangement is described in our co-pending  
5 International patent application no. PCT/GB99/03509 and has the advantage that the probes are not rendered inoperative by gradual calcification.

The water depth probes and/or additional probes may also be used to measure and monitor water quality, for example the ionised condition  
10 of the treated water. This may conveniently be done by measurement, for example, of the difference in conductivity or capacitance between the untreated and the treated water.

In a typical prior art water treatment device for post-mix beverages, water at ambient temperature, say about 10°C, from the mains  
15 may be heated to about 90°C in the heat exchanger, passed to the water treatment housing where it is heated to about 115°C and then cooled in the heat exchanger to about 20° to 30°C. If desired, the water treatment apparatus of the present invention may be operated at similar water temperatures.

20 However, we have found that considerable deposits of impurities, particularly calcium carbonate, can build up in regions of the apparatus where they can harmfully affect performance, when such temperatures are used. For example, as the temperature of the incoming water heats up on its passage through the heat exchanger, deposits build up in the  
25 heat exchanger and these deposits can be significant in the final quarter of the heat exchanger passageways, where the water temperature is at its highest. The pipework leading from the heat exchanger to the water

treatment housing area can also become badly "furred", thereby reducing its diameter. Of course, this latter problem can be ameliorated as suggested above by building the heat exchanger and water treatment housing as a unit. It is also possible to increase the diameter of the pipes  
5 and/or to use insulated pipes or internal surface polishing or non-stick coating.

We have, however, found that these unwanted deposits can be reduced, so that greater deposition can take place in the intended deposition regions, if the water temperature is constrained within  
10 different limits. For example, instead of heating the water to 90°C in the heat exchanger, it may be heated only to about 70° to 75°C and passed into the water treatment housing at that lower temperature. It is then heated by the heater to about 115°C as usual but then is cooled, e.g. by a coil and fan arrangement to, for example 75° to 85°C, say 80°C, before  
15 passing back through the heat exchanger. Deposits in the heat exchanger and in the pipework can thereby be greatly reduced.

Where more than one perforated screen or mesh are used, they may be of the same or different perforation sizes. For example, they may have apertures from 1 to 2 per inch. The perforated screens may have  
20 depending legs protruding downwardly from their undersides whereby the build up of deposits may take a corrugated form, thereby increasing the surface area of deposit and thereby prolonging the life of the unit by delaying the time when the build up is sufficient to harm performance.

The filter may be of any suitable material. We have found that  
25 cellular sponge-like plastics material, e.g. reticulated polyester based polyurethane foam, is particularly useful.

The water treatment housing is preferably fitted with a pressure relief valve which may operate, for example, at about 0.7 bar. Steam containing unwanted volatiles from the water passes from the headspace through the relief valve and may be cooled in a condenser tube before  
5 being allowed to drain away. For example the evaporation rate through this valve over a period of use of the apparatus may be of the order of 2% by weight of the water being treated. Preferably the pressure relief valve is a dead-weight relief valve of the type well known in the art.

Treated water from the apparatus of the invention will normally be  
10 passed to a reservoir, e.g. a bag in a box type reservoir, where it can cool before being drawn off for use. Moreover, the presence of a reservoir between the water treatment housing and the facility in which the treated water is to be used ensures that the facility cannot overdraw or suck  
15 treated water directly out of the treatment housing and thereby harmfully reduce the necessary operating pressure therein. In another preferred embodiment, therefore, the reservoir is provided with separate inlet and outlet pipes and the entry to the outlet pipe within the reservoir is positioned to be remote from the exit end of the inlet pipe within the reservoir. This also helps to prevent regions of "static" water sitting  
20 within the reservoir and not being drawn off which improves water hygiene, particularly for drinks dispensers. In this embodiment, the inlet and outlet pipes may be separately connected into the reservoir or they may form part of a single connector provided that their exit and entry positions respectively are remote from each other as described above.

25 When the treated water reservoir becomes full, water flow through the apparatus is stopped, preferably by automatic control means, and the heater may be controlled to allow the heated water temperature to drop

to, say, about 90° C, i.e. the water is maintained at a lower temperature in a standby mode, so that when flow to the reservoir is again needed, the response time for the water to reach full treatment temperature is relatively fast.

5           The apparatus of the invention may conveniently be provided with a safety-first service function whereby it may be opened for servicing and cleaning. The apparatus may be housed in a cabinet, the door to which is closed by a controlled bolt operation, e.g. by a solenoid operated by a control board. The control board may be programmed whereby the  
10   solenoid cannot release the bolt until the water temperature within the apparatus has fallen to a predetermined level, e.g. 50° C. When the control board is appropriately activated, e.g. by the pressing of a “service” button, the following actions may then be automatically carried out. The outlet for treated water from the water treatment housing is  
15   closed and the heater is switched off. The inlet, e.g. for mains water into the apparatus is opened or maintained open if already open. Mains or other supply water floods through the apparatus as the supply water is regulated to a pressure above the operating pressure within the water treatment housing. As the housing outlet for treated water is closed, the  
20   incoming water forces hot water already in the apparatus out through the pressure relief valve. Mains water is passed into the apparatus until an internal temperature sensor e.g. thermistor probe, indicates that the desired cooler temperature has been reached. The control board then actuates the switching off of the water supply and instructs the solenoid  
25   to release the bolt to allow the cabinet door to be opened. After servicing and closure of the cabinet door, the solenoid lock on the bolt is re-set. This service function can allow servicing access much more quickly e.g.

within a few minutes, compared with allowing the apparatus to cool normally. By way of example only, if the water treatment housing and the heat exchanger together hold six litres of water, passage of about 8 litres of cold mains water can reduce the temperature to 50° C in six to  
5 eight minutes.

In yet another embodiment, the efficiency of the heat exchanger may be improved by allowing a proportion of the untreated water leaving its outlet to be drawn off, e.g. to drain away, rather than it all passing into the water treatment housing. Thus more cold water, e.g. from the mains,  
10 needs to be passed into the heat exchanger to achieve the same throughput, thereby resulting in an increased cooling effect on the treated water passing back and through the heat exchanger. This effect may be sufficient to eliminate the need for the coil and fan cooling arrangement described above for the cooling of the treated water before it enters the  
15 heat exchanger for cooling.

Embodiments of the invention will now be described by way of example only with reference to the accompanying drawings in which:

Figure 1 is a diagrammatic illustration of one water treatment apparatus according to the invention;

20 Figure 2 is a diagrammatic representation of a portion of the water treatment housing of the apparatus of Figure 1 showing the build up of an inner housing of deposits;

Figure 3 is a diagrammatic illustration of a second water treatment apparatus according to the invention;

25 Figure 4 is a sectional view of an alternative outlet tube arrangement from a water treatment housing;



Figure 5 is an exploded perspective view of the embodiment of Figure 4; and

Figure 6 is a diagrammatic illustration of a modified apparatus of Figure 1.

5           In Figure 1 is shown a water treatment apparatus of the invention in which a water treatment, housing comprising a cartridge 10, and a heat exchanger 11 are aligned side by side.

          An inlet solenoid 12 controls supply of untreated, usually mains, water into the heat exchanger 11 via a pipe 11A. (The direction of water  
10   flow through the apparatus is indicated generally by arrows.). When the inlet solenoid is operated to allow water inflow, the untreated water then passes via an inlet pressure regulator 12A into inlet tube 13 which extends through cover plate 14 closing the top of the heat exchanger and down towards the base 15 of the heat exchanger. Cover plate 14 also  
15   extends to close the top of cartridge 10. As indicated above, the plate 14 is conveniently sealed to the top of the cartridge 10 and heat exchanger 11 by a gasket (not shown). Tube 13, by way of example only, may be 13 mm in internal diameter. Water flowing down through tube 13 exits at its lower end 13A and, when the heat exchanger is filled with water,  
20   then exits through the cover plate 14 into tube 16, which may be of 18 mm internal diameter and leads through cover 14 to discharge the untreated water through the top of cartridge 10.

          Heat exchanger 11 also contains a return coil to receive treated water from cartridge 10 and this will be described in more detail below.

25           Inside the water treatment housing cartridge 10 is a high density electric heating element 17, of, say 1200 Watts capacity, in the lower half of the cartridge. Element 17 is connected to conventional electrical

circuitry (not shown) whereby the heater can be switched on and off as required.

Untreated water entering cartridge 10 from tube 16 passes down a larger diameter internal tube 18 which is shown extending approximately  
5 half way down the height of the cartridge but could, if desired, extend nearly to the floor. Tube 18 may be, for example of acetal resin and have an internal diameter of 25 mm and an external diameter of 35 mm. Incoming water fills cartridge 10, thereby surrounding heater 17 and is heated thereby.

10 Surrounding tube 18 and positioned just above its lower end are two screens 19 and 20, one above the other. Each screen has a plurality of depending legs 21 on which deposits from the heated water can form. The screens may be of mesh size from 1 to 2 per inch, for example.

Above screens 19 and 20 is a double layer of fine filter material  
15 22, 23 separated by a screen mesh layer 24. By way of example only, the filter layers 22 and 23 may be about 10 mm to 30 mm each in thickness and may be formed of compressed polyester fibre or reticulated polyester based polyurethane foam or a combination thereof.

Heated water is forced upwardly through the screen and filter  
20 layers by pressure of the incoming untreated water and exits the cartridge 10 through outlet tube 25 which passes through cover plate 14. Delivery pressure of the treated water into tube 25 whose inlet end is below the water level 10B and is governed by the boiling taking place in the headspace 10A of cartridge 10 above the water level 10B and is  
25 controlled by pressure relief valve 26 which allows hot water and/or steam with unwanted volatiles to escape into relief tube 27 and cooling

coil 28 when the internal pressure in the cartridge exceeds a predetermined value, e.g. 0.7 bar.

The hot treated water passes through tube 25 via a cooling tube 29 and fan 30 into heat exchanger 11 at an inlet 31 in cover plate 14. The treated water passes through a coil 32 towards the base 15 of the heat exchanger from where it is passed upwardly through a tube 33 which passes inside the inlet tube 13 for cold incoming water. Tube 33 exits through cover plate 14 and the treated, cooled water passes via an outlet flow restrictor 34 and an outlet solenoid valve 35 to a reservoir (not shown).

As indicated above, the untreated water may enter the heat exchanger at about 10 or 20° C and be heated to about 115° C in cartridge 10. A thermistor 36 positioned just above filter layer 23 measures the treated water temperature and is connected to a control board 37 whereby passage of treated water through the outlet solenoid valve can be prevented until the correct temperature has been reached. Depth probes 38, 39, 40 extend inside the top of cartridge 10 and may also be connected to the control board. Probe 38 indicates when the maximum required depth of water in the cartridge has been reached, probe 39 indicates that the water level is low and probe 40 indicates that the minimum water level has been reached. The control board is then actuated appropriately to start or stop the process and the water flow.

The cold water entering the system may be warmed in the heat exchanger by the outgoing treated water to, say, 72° C, the treated water may be initially cooled by coil 29 and fan 30 to about 80° C and then finally cooled in the heat exchanger to exit the apparatus at about 35° C.

A typical flow rate may be 12 to 24 litres per hour for a water treatment cartridge of volume 3.5 litres.

It will be appreciated that all these figures are for illustration only and may vary widely.

- 5           In Figure 2 is shown a portion of cartridge 10 of Figure 1 after build up of deposits, mainly of calcium carbonate, within the cartridge.

Heater 17 can be seen in outline as it has a covering 17A of deposit prior to its cracking and breaking away from the heater. An undulating "roof" 50 of deposits has formed over legs 21 of screen 19 but  
10   legs 21 of upper screen 20 are still visible as is the lower end of tube 18. The interior wall of cartridge 10 has a layer 51 of deposited material and its base is covered by a layer 52 of deposits. Roof 50, wall layers 51 and floor layer 52 in effect form an inner housing or "igloo" 53 within which the heat treatment of the water takes place.

- 15           In Figure 3 is shown an alternative type of water treatment apparatus of the invention in which the heat exchanger and the water treatment housing are situated in a single cartridge-type housing 60, with the heat exchanger lowermost. Cartridge 60 may, for example, be of stainless steel tube of about 0.5 to 1 mm wall thickness. The cartridge is  
20   closed at its upper end by a plastics end cap 61 held in position by six screws 62 (only two of which are visible) and sealed to the wall of the cartridge by an O-ring 63. Screws 62 screw into a stainless steel ring 64 welded around the outside of the upper end of the cartridge tube.

The cartridge is similarly closed at its lower end by a plastics end  
25   cap 61A, six screws 62A, an O-ring seal 63A and stainless steel ring 64A.

An inlet 65 for cold untreated water passes through plate 61A into the lower, heat exchanger, portion of cartridge 60. This lower portion contains heat exchanger coils 66 for the returning treated water which, when cooled, passes out of cartridge 60 via outlet 67 in plate 61A.

- 5 Baffles 68 extend vertically upwardly from the interior surface of plate 61A and baffles 69 extend downwardly from cross member 70 which is itself mounted on rods 71 which also extend upwardly from the plate 61A. Mounted on and extending above cross member 70 is a pair of further rods 72 which support a stainless steel closure member 73
- 10 forming a scale trap with stainless steel arms 74 and 75 extending inwardly from the wall of the cartridge. The baffles and rods may be of acetal resin, for example, and provide a turbulent flow path for the incoming cold water as it extracts heat from the outflowing treated water.

- Above scale trap 73, 74, 75 is a heater 76 sealed into an opening
- 15 defined in the cartridge wall by an annular flange 77. Above heater 76 is a first coarse filter 78 and, above that, a second finer filter 79. The water level in the cartridge is indicated at 80, leaving a headspace 80A, and is monitored by a probe 81 which may be connected to a control board as described with reference to Figure 1. A relief valve 82 in the plate 61
- 20 allows escape of steam and/or hot water at a predetermined pressure.

Tube 83, whose upper end is above filter 79, allows the heated, treated water to flow downwardly into heat exchanger coils 66 and from there to outlet 67. As before, the outlet 67 may lead to a reservoir for the treated water.

- 25 This apparatus may be controlled and operated in a similar manner to that described with reference to Figure 1 but it will be noted that there is considerably less pipework between the heat exchanger and water

treatment portions of the apparatus, thereby considerably reducing potential blockage points by deposits from the water.

In Figures 4 and 5 is shown an outlet pipe 25A having a modified end to form a labyrinth to prevent bubbles, which may include unwanted volatiles, passing through the outlet tube.

This modified outlet pipe replaces pipe 25 shown in Figure 1 and the end portion of the pipe shown in Figure 4 lies inside the (not shown) cover 14 of Figure 1.

Pipe 25A has a conventional pipe end portion 100, which passes through cover 14 of the treatment housing. It is, however, closed at its inner end by an end cap 101 of larger diameter than the outer diameter of pipe portion 100. Holes 102 are positioned through the wall of pipe portion 100 close to its end cap 101. These holes are visible in Figure 4 but not in Figure 5. End cap 101 is fitted tightly into a sleeve 103 which extends along the exterior of pipe portion 100 for a short distance beyond holes 102, thereby providing an annular passage 104 through which hot treated water may flow downwardly and then through holes 102 into the interior of pipe portion 100 and then out of the water treatment housing. Bubbles 105 of, for example, unwanted volatiles, flow upwardly as indicated by the arrow to the liquid surface 106 but cannot pass downwardly to pass through the labyrinth arrangement of outlet pipe 25A and, hence, are prevented from passing through the outlet with the treated water.

In Figure 6 is shown an alternative form of apparatus having a sterilising function for the heat exchanger. The apparatus is essentially the same as that shown in Figure 1 and like parts have been numbered identically in Figure 6 and are not described in detail again hereafter.

The modified apparatus has a valve 110 on the pipe 11A, valve 110 being positioned between inlet pressure regulator 12A and the entry to inlet tube 13 into heat exchanger 11. Between regulator 12A and valve 110 a branch pipe 112 leads off from pipe 11A to enter tube 16  
5 before the latter leads through cover 14 into the water treatment housing 10. A valve 114 controls entry to pipe 112 from pipe 11A.

During normal operation of the apparatus valve 114 is closed and valve 110 is open. Water, therefore, flows into the heat exchanger 11, from there into water treatment housing 10 and from there back through  
10 heat exchanger 11 to outlet valve 35 and thence to a reservoir just as described with reference to Figure 1.

In order to sterilise the heat exchanger, valve 110 is closed and valve 114 opened. Cold incoming water, therefore, bypasses the heat exchanger 11 and flows through pipe 112 and tube 16 directly into the  
15 water treatment housing 10, i.e. it is not pre-heated. Hot treated water passes back through coil 32 in the heat exchanger which, in the absence of cooling incoming water, rises in temperature to say, above 85° C. The heat exchanger and the outlet tubing therefrom are thereby sterilised.

## CLAIMS

1. A water treatment apparatus comprising a treatment housing (10, 60) having an inlet (18, 65) for the water to be treated, an outlet (25, 67) for the treated water, a heater (17, 76) within the housing to come into  
5 direct contact with the water and a filter (22, 23, 78, 79) between the heater and the outlet, characterised in that means (38, 81) are provided to fill the housing with water up to a maximum level which leaves a headspace (10A, 80A) between the water and the roof (14, 61) of the housing, the entrance to the outlet being below the operating water level  
10 (10B, 80).
2. A water treatment apparatus according to Claim 1, characterised in that the housing (10, 60) is in the form of a disposable cartridge which may be dispensed with including its heater (17, 76).
3. A water treatment apparatus according to Claim 1 or 2,  
15 characterised in that the housing (10) contains one or more perforated screens (19, 20) between the heater (17) and the filter (22, 23).
4. A water treatment apparatus according to Claim 3, characterised in that the housing (10) is cylindrical, the heater (17) is spaced above the base of the housing, the perforated screens (19, 20) are above the heater,  
20 the filter (22, 23) is above the screens and the outlet (25) for treated water is above the filter.
5. A water treatment apparatus according to Claim 3 or 4, characterised in that at least one perforated screen (19, 20) has depending legs (21) protruding downwardly from its underside.
- 25 6. A water treatment apparatus according to any preceding claim, characterised in that the water to be treated first passes through a heat exchanger (11) where it is warmed before it passes to the housing.



7. A water treatment apparatus according to Claim 6, characterised in that treated water leaving the housing (10, 60) is passed through the heat exchanger (11, 66) to warm the incoming untreated water.
8. A water treatment apparatus according to Claim 6 or 7,  
5 characterised in that the heat exchanger (11, 66) and the water treatment housing (10, 60) are contained within a single unit.
9. A water treatment apparatus according to Claim 8, characterised in that the heat exchanger (66) is positioned directly below the water treatment housing (60).
- 10 10. A water treatment apparatus according to Claim 8, characterised in that the water treatment housing (10) and the heat exchanger (11) are housed side by side and one cover plate (14) closes the upper ends of both.
11. A water treatment apparatus according to Claim 10, characterised  
15 in that the cover plate (14) is a double-skinned plate formed by moulding in two parts which define internal galleries to provide the flow passages for the water.
12. A water treatment apparatus according to any preceding claim, characterised in that the heater (17, 76) has a wattage density of from 20  
20 to 30 watts/cm<sup>2</sup>.
- 13 A water treatment apparatus according to any preceding claim, characterised in that it includes means to vibrate the heater.
14. A water treatment apparatus according to any preceding claim, characterised in that it has a throughput of 12 to 18 litres of untreated  
25 water per hour into the housing (10, 60) and a heater (17, 76) of from 1000 to 1200 watts.

15. A water treatment apparatus according to any preceding claim, characterised in that a temperature probe is positioned in the housing (10, 60) to monitor the water temperature.
16. A water treatment apparatus according to any preceding claim,  
5 characterised in that the means to fill the housing with water up to a maximum level comprises a water depth probe (38, 81).
17. A water treatment apparatus according to Claim 16, characterised in that two or more water depth probes (38, 39 40) are used to monitor water levels in the housing.
- 10 18. A water treatment apparatus according to Claim 15, 16 or 17, characterised in that the probes (38, 39, 40, 81) are fitted within a separate chamber within the housing, which chamber only receives heated treated water after it has passed through the filter (22, 23, 78, 79).
19. A water treatment apparatus according to any preceding claim,  
15 characterised in that one or more probes in the housing (10, 60) are used to measure water quality.
20. A water treatment apparatus according to any preceding claim, characterised in that the treated water is passed to a reservoir, the reservoir having an inlet and an outlet pipe, the entry to the outlet pipe  
20 within the reservoir being remote from the exit end of the inlet pipe.
21. A water treatment apparatus according to Claim 20, characterised in that automatic control means are provided to stop water flow through the apparatus (10, 60) when the reservoir is full.
22. A water treatment apparatus according to Claim 21, characterised  
25 in that the control means also control the heater (17, 76) to allow the heated water to be maintained at a lower temperature in standby mode when the water flow is stopped.

23. A water treatment apparatus according to any preceding claim, characterised in that it is housed in a cabinet, the door of the cabinet being closed by a controlled bolt mechanism, the control means being programmed to release the bolt only when the water temperature in the housing (10, 60) has fallen to a predetermined level.

24. A water treatment apparatus according to any one of Claims 6 to 23, characterised in that it includes means to allow a proportion of the untreated water leaving the heat exchanger outlet (16) to be drawn off instead of passing to the treatment housing (10).

25. A water treatment apparatus according to any one of Claims 7 to 24, characterised in that the heated water leaving the housing (10) is passed through cooling means (29, 30), e.g. a coil and fan arrangement, before passing back into the heat exchanger (11).

26. A water treatment apparatus comprising a treatment housing (10) and a heat exchanger (11), the treatment housing (10) having an inlet (18) for the water to be treated, an outlet (25) for the treated water, a heater

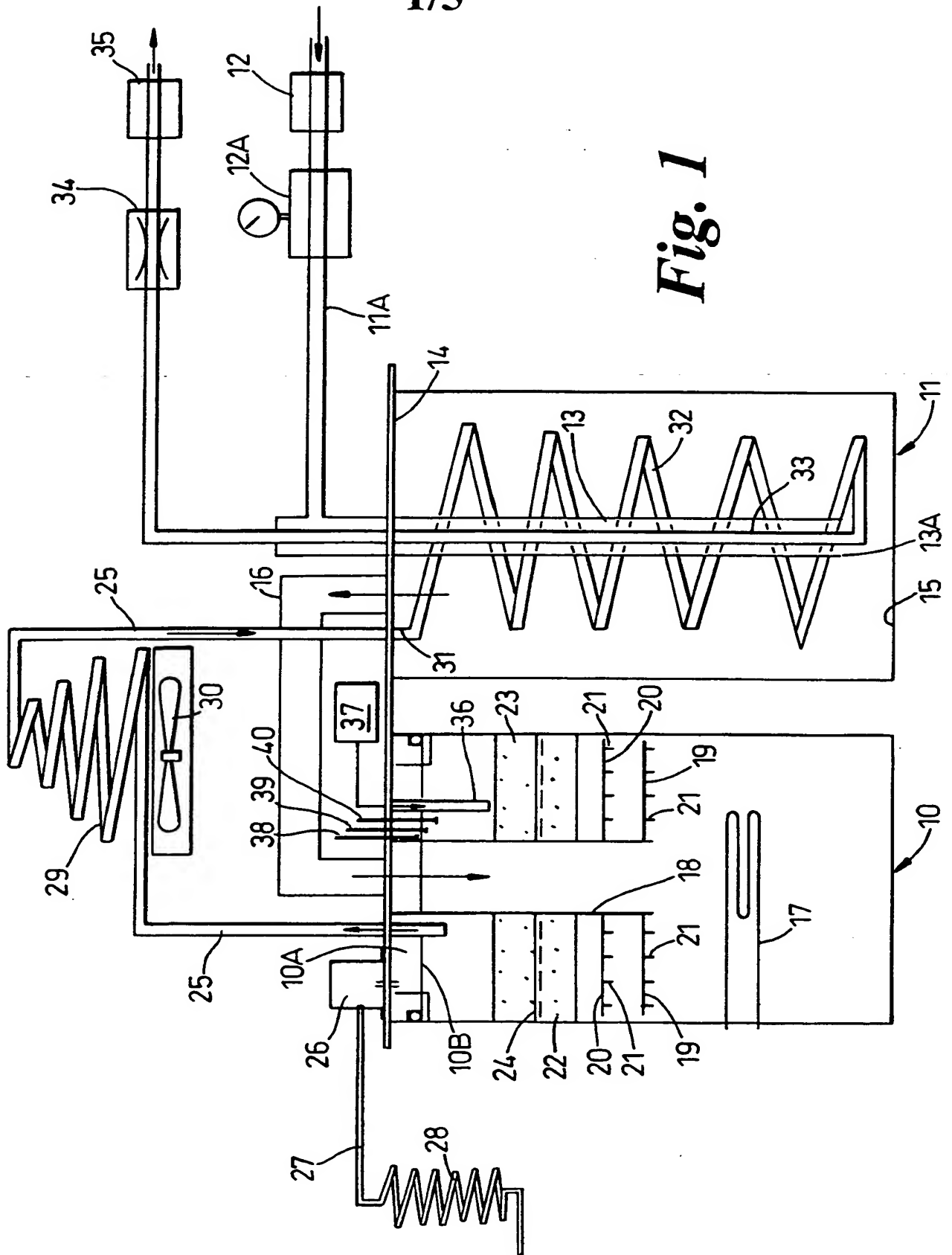
(17) within the housing and a filter (22, 23) between the heater (17) and the outlet (25), characterised in that the inlet (18) to the treatment housing receives water that has passed from a source of untreated water through the heat exchanger (11) and the outlet (25) from the treatment housing passes treated water back through the heat exchanger (11), and bypass valve means (110, 112, 114) are provided to close the heat exchanger (11) to incoming untreated water and to allow the incoming untreated water to flow directly into the treatment housing (10), whereby the hot treated water passing through the heat exchanger (11) sterilises the heat exchanger.

27. A water treatment apparatus according to Claim 26, characterise in that the bypass valve means is a first valve (110) on the inlet pipe to the heat exchanger (11) which is open during normal operation to allow inflow of untreated water and a bypass valve (114) in a bypass pipe (112) between the source of untreated water and the first valve (110), the bypass valve (114) being closed during normal operation and the first valve (110) being closed and the bypass valve (114) opened to sterilise the heat exchanger.

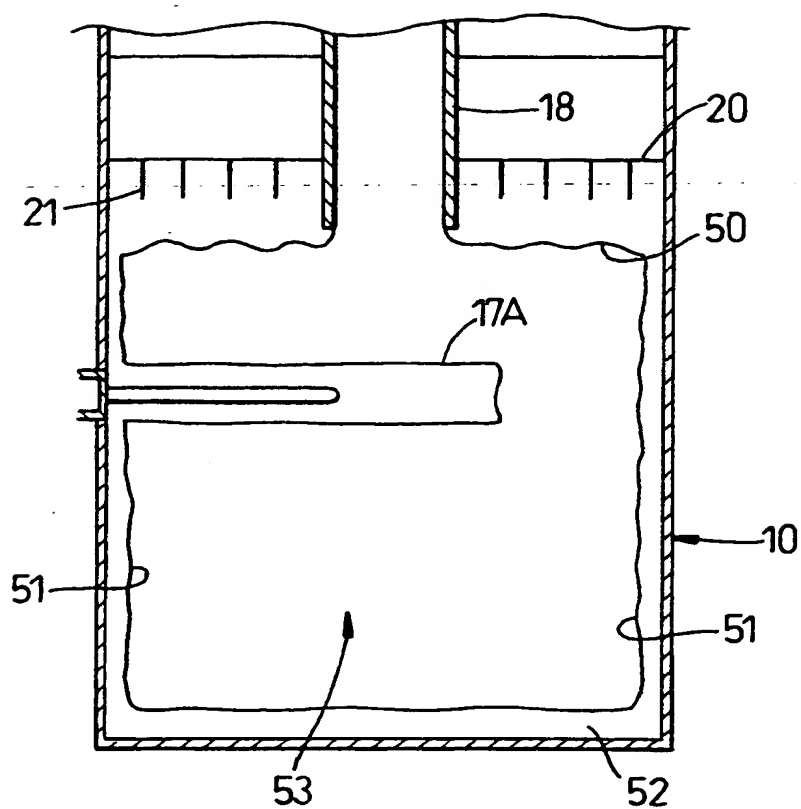
28. A water treatment apparatus according to Claim 26 or 27, characterised in that the heater (17, 76) is in direct contact with the water in the housing.

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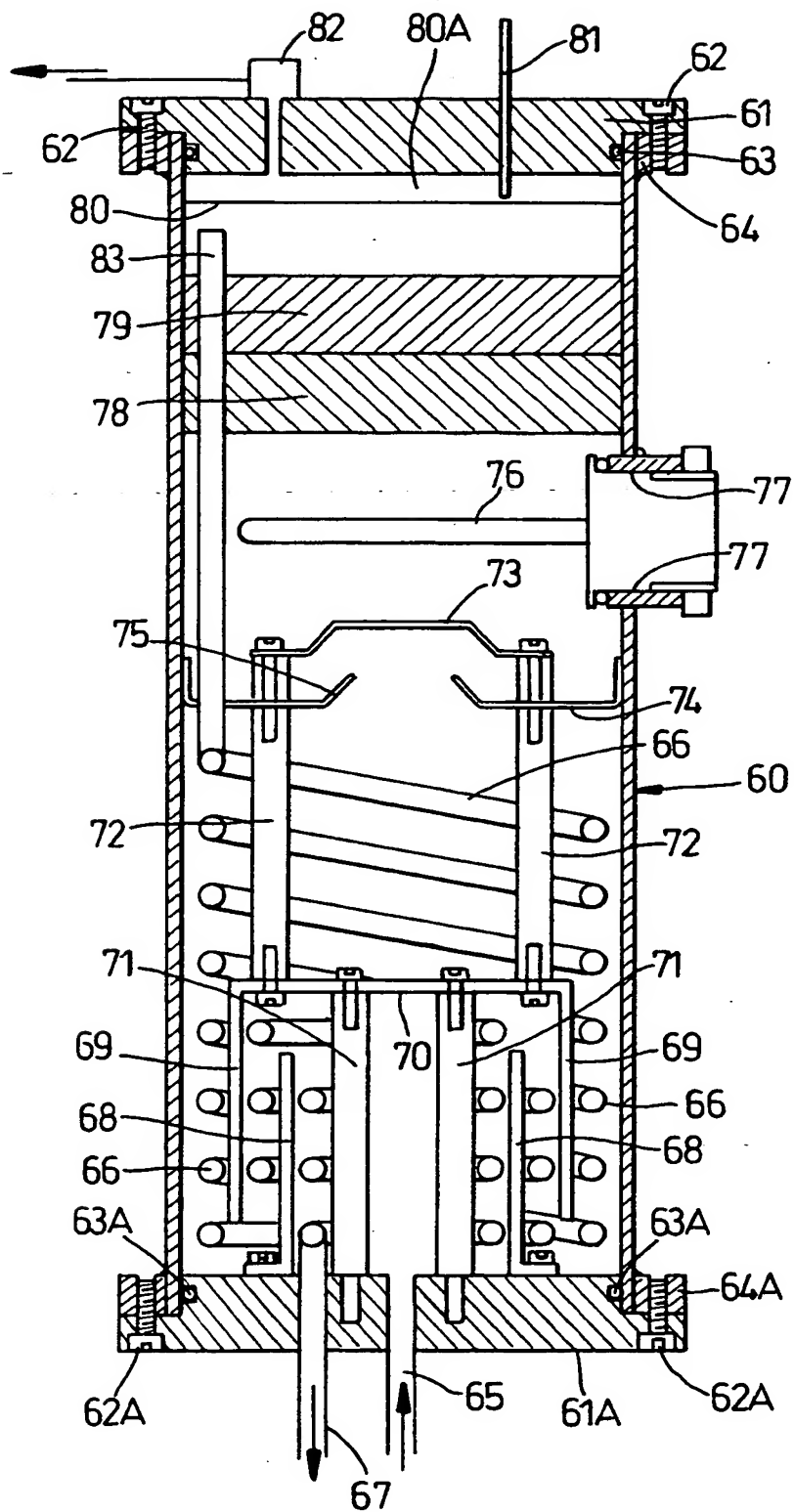
Fig. 1



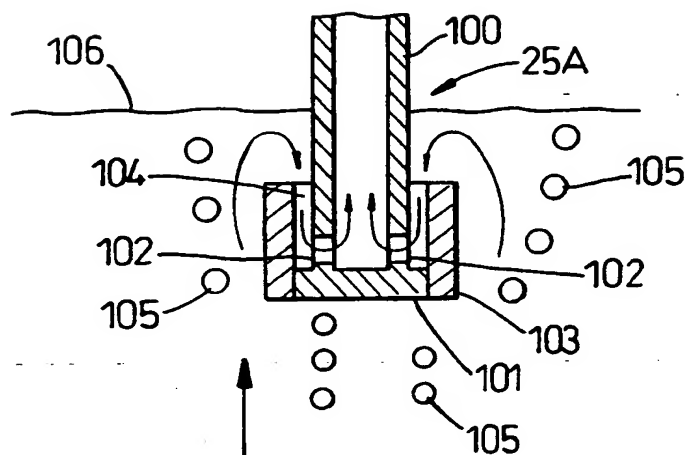
2/5

*Fig. 2*

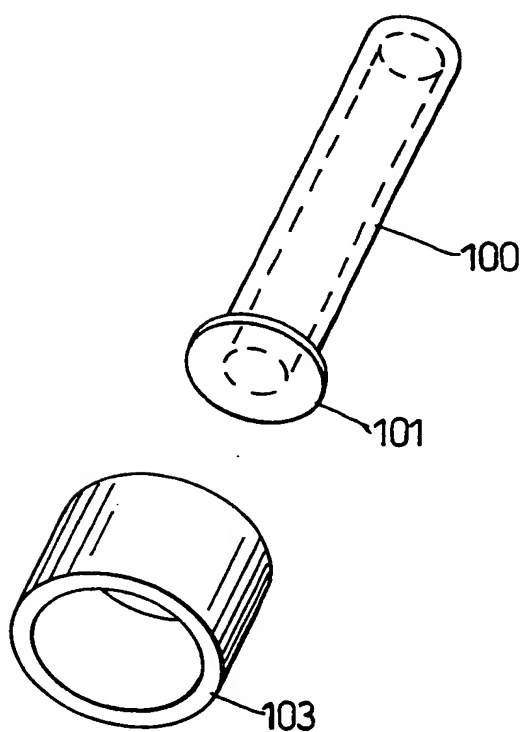
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*Fig. 3*

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**Fig. 4**



**Fig. 5**



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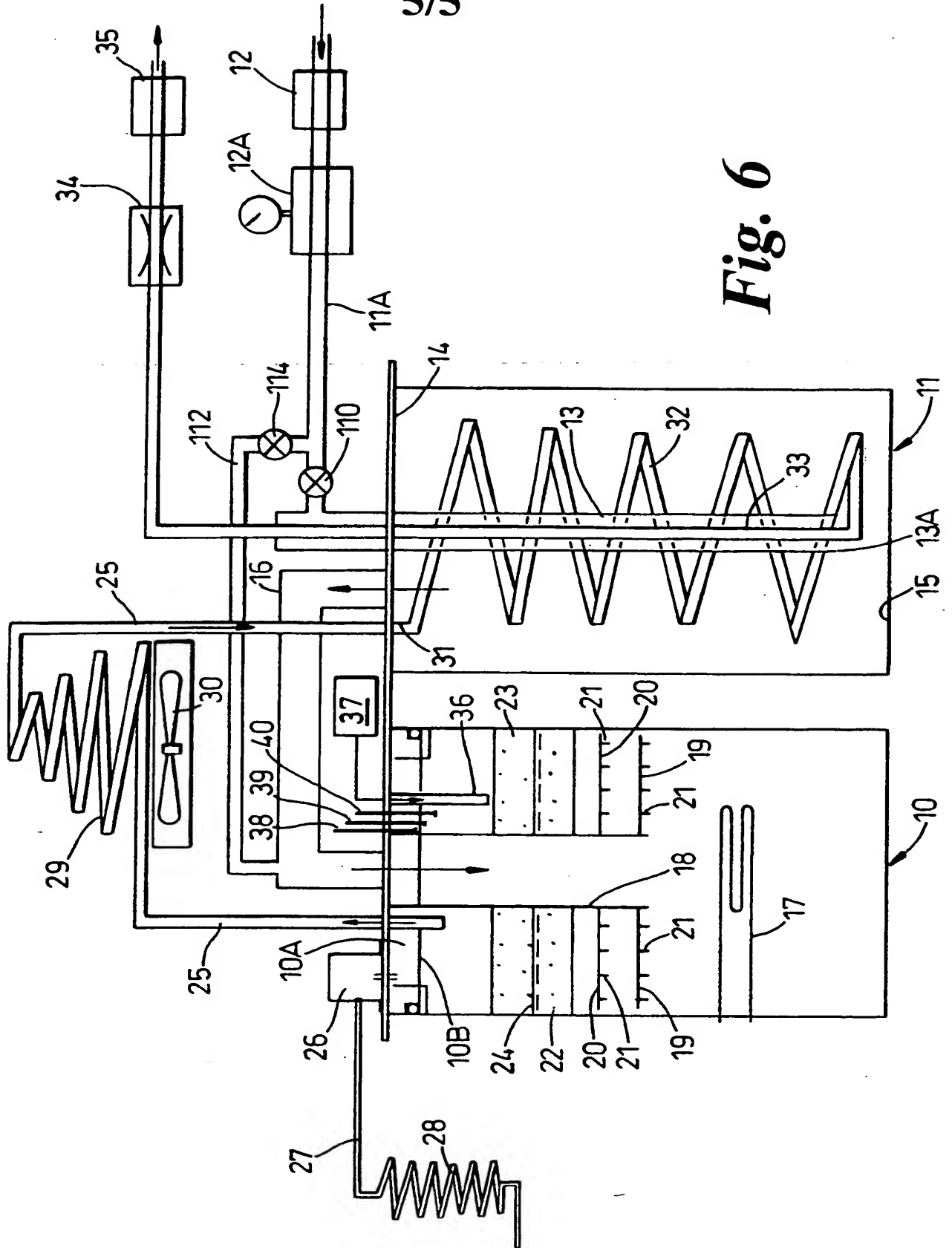


Fig. 6

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 C02F1/02 B01D35/18

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C02F B01D A47J

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, X	WO 00 25887 A (WIEMER KLAUS ; HEYES KEITH JAMES (GB); WILLIAMS GRAHAM (GB); IMI CO) 11 May 2000 (2000-05-11) the whole document	1-4, 6-8, 16-18, 23
X	US 5 858 248 A (PLESTER GEORGE ET AL) 12 January 1999 (1999-01-12)	1, 6, 7, 15, 18, 20-22
A	abstract; claims; figure 1	2
A	US 5 587 055 A (HARTMAN MICHAEL O ET AL) 24 December 1996 (1996-12-24) claims	1

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

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- \*&\* document member of the same patent family

Date of the actual completion of the international search

29 January 2001

Date of mailing of the international search report

06/02/2001

Name and mailing address of the ISA

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Authorized officer

Serra, R

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/GB 00/03329

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
WO 0025887 A	11-05-2000	AU 6355099 A GB 2350358 A	22-05-2000 29-11-2000
US 5858248 A	12-01-1999	AU 5662696 A WO 9630309 A ZA 9602491 A	16-10-1996 03-10-1996 03-09-1996
US 5587055 A	24-12-1996	US 5484510 A AU 8093194 A WO 9534356 A	16-01-1996 05-01-1996 21-12-1995

PATENT COOPERATION TREATY

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INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference <b>71121/PCT</b>	<b>FOR FURTHER ACTION</b> see Notification of Transmittal of International Search Report (Form PCT/ISA/220) as well as, where applicable, item 5 below.	
International application No. <b>PCT/GB 00/ 03329</b>	International filing date (day/month/year) <b>30/08/2000</b>	(Earliest) Priority Date (day/month/year) <b>14/09/1999</b>
Applicant  <b>IMI CORNELIUS (UK) LIMITED</b>		

This International Search Report has been prepared by this International Searching Authority and is transmitted to the applicant according to Article 18. A copy is being transmitted to the International Bureau.

This International Search Report consists of a total of 2 sheets.

☒ It is also accompanied by a copy of each prior art document cited in this report.

1. Basis of the report

a. With regard to the **language**, the international search was carried out on the basis of the international application in the language in which it was filed, unless otherwise indicated under this item.

☐ the international search was carried out on the basis of a translation of the international application furnished to this Authority (Rule 23.1(b)).

b. With regard to any **nucleotide and/or amino acid sequence** disclosed in the international application, the international search was carried out on the basis of the sequence listing :

☐ contained in the international application in written form.

☐ filed together with the international application in computer readable form.

☐ furnished subsequently to this Authority in written form.

☐ furnished subsequently to this Authority in computer readable form.

☐ the statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.

☐ the statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished

2. ☐ **Certain claims were found unsearchable** (See Box I).

3. ☐ **Unity of invention is lacking** (see Box II).

4. With regard to the **title**,

☐ the text is approved as submitted by the applicant.

☒ the text has been established by this Authority to read as follows:

**WATER TREATMENT APPARATUS**

5. With regard to the **abstract**,

☒ the text is approved as submitted by the applicant.

☐ the text has been established, according to Rule 38.2(b), by this Authority as it appears in Box III. The applicant may, within one month from the date of mailing of this international search report, submit comments to this Authority.

6. The figure of the **drawings** to be published with the abstract is Figure No.

☐ as suggested by the applicant.

☐ because the applicant failed to suggest a figure.

☒ because this figure better characterizes the invention.

1  
☐ None of the figures.

## INTERNATIONAL SEARCH REPORT

International Application No

PCT/GB 00/03329

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Serra, R

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